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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO | |
|---|----------------|----------------------|-------------------------|---------------------|--|
| 09/606,910 | 06/29/2000 | Uwe Karsten | 0107-027P | 7839 | |
| 23622 7 | 590 05/07/2002 | | | | |
| GABRIEL P. KATONA GOODWIN PROCTER L.L.P. 599 LEXINGTON AVENUE 40TH FLOOR NEW YORK, NY 10022 | | EXAMI | EXAMINER | | |
| | | | RAWLINGS, S | RAWLINGS, STEPHEN L | |
| | | | ART UNIT | PAPER NUMBER | |
| 1,2,, 10111, | | | 1642 | 10 | |
| | | | DATE MAILED: 05/07/2002 | 17 | |

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Tradem (Commerce)

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| APPLICATION NO./ CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR / PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |
|---------------------------------|-------------|--|---------------------|
| 09/606,910 | | | |

EXAMINER

ART UNIT PAPER

15

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| APPLICATION NO./ CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR / PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. EXAMINER | |
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| | | | ART UNIT | PAPER |
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Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

APPLICANT IS GIVEN 30 days FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R.. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen L. Rawlings, Ph.D. whose telephone number is (703) 305-3008. The examiner can normally be reached on Monday-Thursday and alternate Fridays from 8:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Caputa, Ph.D. can be reached at (703) 305-3995. The fax number for the organization where this application or proceeding is assigned is (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

DONNAWORTMAN PRIMARY EXAMINER

| · · · · · · · · | Application N . | Applicant(s) | |
|---|---|--|---------------------------------------|
| - | 09/606,910 | KARSTEN ET | AL. |
| Notice to Comply | Examiner | Art Unit | |
| | Stephen L. Rawlings, Ph.D. | 1642 | |
| NOTICE TO COMPLY WITH REQUIREMEN NUCLEOTIDE SEQUENCE AND/OR AMINO | | | AINING |
| Applicant must file the items indicated below within the avoid abandonment under 35 U.S.C. § 133 (extension | e time period set the Office action as of time may be obtained under t | to which the Notice he provisions of 3 | e is attached to 7 CFR 1.136(a)). |
| The nucleotide and/or amino acid sequence disclosure for such a disclosure as set forth in 37 C.F.R. 1.821 - | e contained in this application doe 1.825 for the following reason(s): | s not comply with | the requirements |
| ☑ 1. This application clearly fails to comply with the directed to the final rulemaking notice published a the effective filing date is on or after July 1, 1998, 1998) and 1211 OG 82 (June 23, 1998). | t 55 FR 18230 (May 1, 1990), and | 1114 OG 29 (May | <i>r</i> 15, 1990). If |
| 2. This application does not contain, as a separate required by 37 C.F.R. 1.821(c). | e part of the disclosure on paper co | opy, a "Sequence | Listing" as |
| 3. A copy of the "Sequence Listing" in computer re 37 C.F.R. 1.821(e). | eadable form has not been submitt | ted as required by | |
| 4. A copy of the "Sequence Listing" in computer r computer readable form does not comply with the attached copy of the marked -up "Raw Sequence | requirements of 37 C.F.R. 1.822 a | However, the cor and/or 1.823, as in | ntent of the dicated on the |
| 5. The computer readable form that has been filed unreadable as indicated on the attached CRF Dist submitted as required by 37 C.F.R. 1.825(d). | d with this application has been for kette Problem Report. A Substitut | und to be damage e computer readal | d and/or ble form must be |
| ☐ 6. The paper copy of the "Sequence Listing" is not as required by 37 C.F.R. 1.821(e). | t the same as the computer readal | ble from of the "Se | quence Listing" |
| 7. Other: Applicants are required to comply we necessary, Applicant is required to submit substitute statement that the copies are the same and that no necessary. | paper and computer-readable cor | this will be furth pies of the Sequer | er examined. If nce Listing with a |
| Applicant Must Provide: ☑ An initial or substitute computer readable form (Cl | RF) copy of the "Sequence Listing" | | |
| An initial or substitute paper copy of the "Sequence specification." | ce Listing", as well as an amendme | ent directing its ent | ry into the |
| ☑ A statement that the content of the paper and content on new matter, as required by 37 C.F.R. 1.821(e) or 1 | mputer readable copies are the sa 1.821(f) or 1.821(g) or 1.825(b) or | ame and, where ap 1.825(d). | oplicable, include |
| | | | |

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